NO. 270



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Ian Redmond; Bernard Bell; David L. Blankenbeckler; Michael F.

Braitberg; Robert D. Freeman

Assignee:

DPHI Acquisitions. Inc.

Title:

Low Profile Optical Head

Serial No.:

09/540,657

Filing Date:

March 31, 2000

Examiner:

Unassigned

Group Art Unit:

2753

Docket No.:

M-8535-1P US

COMMISSIONER FOR PATENTS Washington, D.C. 20231

### POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST

Sir:

The above-identified assignee, hereby revokes all powers of attorney previously given and appoints the attorney(s) and/or agent(s) identified below to prosecute the above-identified application and to transact all business in the United States Patent and Trademark Office in connection therewith:

#### Customer No. 32605

Please address all correspondence and telephone calls regarding this application to:

Theodore P. Lopez
MacPherson Kwok Chen & Heid LLP
2001 Gateway Place, Suite 195E
San Jose, CA 95110
Phone: (949) 752-7040

Fax: (408) 392-9262

### ASSIGNEE CERTIFICATION UNDER 37 CFR 3.73(B)

The undersigned representative of the above-identified assignee certifies that the above-identified assignee is the assignee of the entire right, title and interest in the above-identified

patent application/patent by virtue of a chain of title from the inventor(s) of the aboveidentified patent application/patent to the above-identified assignee as shown below:

- 1. From: Ian Redmond To: DataPlay, Inc.
- 2. From: Bernard Bell To: DataPlay, Inc.
- 3. From: <u>David L. Blankenbeckler</u> To: <u>DataPlay</u>, <u>Inc.</u>
- 4. From: Robert D. Freeman To: DataPlay, Inc.

The document was recorded in the United States Patent and Trademark Office at Reel 011037 Frame 0049. A copy of the document is attached.

5. From: DataPlay, Inc. To

To: Silicon Valley Bank

The document was recorded in the United States Patent and Trademark Office at Reel 012493 Frame 0423. A copy of the document is attached.

6. From: Silicon Valley Bank

To: DPHI Acquisitions, Inc.

A copy of the assignment document being attached hereto.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the above-identified assignee.

Date: 4 15 03

DPHI Acquisitions, Inc.

Name: William J. Almon, Jr.

Title: President & CEO

DIRECTOR FINANCE



M-8535-18

UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

OCTOBER 19, 2000

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SHERIDAN ROSS RICHARD L. HUGHES SUITE 1200 1560 BROADWAY DENVER, CO 80202-5141

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT STARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/07/2000

人名英格兰人姓氏

REEL/FRAME: 011037/0049

NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

REDMOND, IAN

DOC DATE: 07/18/2000

ASSIGNOR:

BELL, BERNARD

DOC DATE: 07/18/2000

ASSIGNOR:

BLANKENBECKLER, DAVID L.

DOC DATE: 07/19/2000

ASSIGNOR:

BRAITBERG, MICHAEL F.

DOC DATE: 07/18/2000

ASSIGNOR:

FREEMAN, ROBERT D.

DOC DATE: 07/19/2000

ASSIGNEE:

DATAPLAY, INC.

: S.6200 LOOKOOT ROAD . TO A

BOULDER, COLORADO 80301

COLUMBIA CONTRACTOR CO

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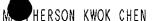
011037/0049 PAGE 2

SERIAL NUMBER: 09540657 PATENT NUMBER:

FILING DATE: 03/31/2000 ISSUE DATE:

MAURICE CARTER, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

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1. Name of conveying party(tes):	•	2. Name and address of	receiving party(ies):	
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Bernard Bell Robert D. Freer	nan	Ivanie: L'atariay, inc.	· 8-7.	7 0 0
David L. Blankenbeckler		Internal Address: 6200 J		
Additional name(s) of conveying party(ies) attached?	☐Yes ☒ No	Street Address: 6200 Lo	okout Road	
3. Nature of conveyance:		City Davids		
		City: Boulder State	CO ZIP: 80301	l .
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Execution Date: July 18 and 19, 2000				
4. Application number(s) or patent number(s).			•	
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5. Name and address of party to whom correspondence	concerning	6 Total number of small	cations and patents involve	
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### ASSIGNMENT

WHEREAS, we, Ian Redmond of 2065 Floral Drive, Boulder, Colorado 80304; Bernard Bell of 9920 Phillips Road, Lafayette, Colorado 80026; David L. Blankenbeckler of 2141 Ridgeview Way, Longmont, Colorado 80501; Michael F. Braitberg of 440 Broken Fence Road, Boulder, Colorado 80302; and Robert D. Freeman of 4747 Anne Place, Erie, CO 80516, have invented a certain new and useful invention entitled LOW PROFILE OPTICAL HEAD, for which an application for Letters Patent of the United States has been prepared and filed on March 31, 2000, receiving Serial No. 09/540,657, and further identified as Attorney File No. 4154-9-CIP;

NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, DataPlay, Inc., a Delaware corporation having a place of business at 6200 Lookout Road, Boulder, Colorado 80301, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; we warrant that we have made no assignment of the invention, application or patent therefor to a party other than DataPlay, Inc. and we are under no obligation to make any assignment of the invention, application, or patent therefor to any other party, and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.

The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to DataPlay, Inc., its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

Date: 7/8/00

Date: 7/8/00

By: By: Bernard Bell

Date: 7/19/30

By: David L. Blankenbeckler

Date: 67(8/00	By. Hichael Braitbore, Michael F. Braitberg
Date: 7/19/00	By: Robert D. Freeman
STATE OF <u>(Solota</u> COUNTY OF <u>Boul</u>	do ) der )
and acknowledged to me t	ry Public in and for said County and State, personally appeared Ian be the person whose name is subscribed to the foregoing instrument, hat he executed the same for the purposes and considerations therein
Given under my ha	nd and seal of office this 18th day of July 2000.  Caral Neyer
W. C.	No Pic
My Commission Expires 07/29/2002	Notary Public  y Commission Expires: 7-29-2002
My Commission Expires 07/29/2002 M.  STATE OF	Notary Public vy Commission Expires: 7-29-2002
STATE OF <u>Calman</u> COUNTY OF <u>Bould</u> Before me, a Notan  Bell, known to me to be the	Notary Public vy Commission Expires: 7-29-2002
STATE OF	Notary Public  y Commission Expires: 7-29-2002  (a)  ss.  y Public in and for said County and State, personally appeared Bernard person whose name is subscribed to the foregoing instrument, and
STATE OF	Notary Public  y Commission Expires: 7-29-2002  (a) ) ss.  y Public in and for said County and Stare, personally appeared Bernard experson whose name is subscribed to the foregoing instrument, and executed the same for the purposes and considerations therein  and and seal of office this // day of Yely, 2000.  (a) And Manual

COUNTY OF Boulder ) ss.
Before me, a Notary Public in and for said County and State, personally appeared David L. Blankenbeckler, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.  ROL MET day of Gard Negy, 2000.  Card Negy, 2000.
Notary Public  My Commission Expires: 7-29-2002
ly Commission Expires 07/29/2002
STATE OF <u>Colorado</u> )  COUNTY OF <u>Boulder</u> )  ss.
Before me, a Notary Public in and for said County and State, personally appeared Michael F. Braitberg, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this day of July 2000.

Carol Moyer

Notary Public

My Commission Expires:

STATE OF <u>Poulder</u>) ss.

Before me, a Notary Public in and for said County and State, personally appeared Robert D. Freeman, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

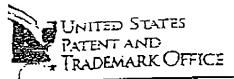
Given under my hand and seal of office this 19 day of Oly 2000.

Notary Public

My Commission Expires: 7-29-2002



My Commission Expires 07/29/2002.



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Chief Information Officer Washington, DC 20231 WWWLISDIOLGOV

SILLOON VALLEY BANK ORABEUM KONNAKS LOAN DOCUMENTACION HALES 3003 TASMAN DR. SANTA CLAPA, CA 95054

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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ECORDATION DATE: 01/29/2002

REST/FRAME: 012493/0423 NUMBER OF PAGES: 12

BRIEF: SECURITY AGREEMENT

ASSIGNOR:

DATAFLAY, INC.

DOC DATE: 12/20/2001

ASSIGNEE:

SILICON VALLEY BANK 3003 TASMAN DR. LOAN DOCUMENTATION HA155 SANTA CLARA, CALIFORNIA 95054

SZRIAL NUMBER: 09315398 \*

PATENT NUMBER:

SERVAL NUMBER: 09753356 ,

PATENT NUMBER:

SERIAL NUMBER: 09753109 .

PACENT NUMBER:

SERIAL NUMBER: 09527982.

PATENT MUMBER:

FILING DATE: 05/20/1999

ISSUE DATE:

FILING DATE: 03/15/2001

ISSUE DATE:

FILING DATE: 12/29/2000

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12493/0423 PAGE 4

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-ERIAL NUMBER: 09939960 •

WENT NOWSER:

MARCUS KURK, EXAMINER ASSIGNMENT DIVISION

OFFICE OF PUBLIC RECORDS

FILTING DATE: 08/27/2001 A-4743

ISSUE DATE:

FILING DATE: 05/01/2001 M 4648

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FILING DATE: 07/31/2001 M-1533

ISSUE DATE:

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ISSUE DATE:

FILTE DATE: 08/27/2001 M - 12038

ISSUES DATE:

FILING DATE: 08/27/2001 M-12037

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FILING DATE: 08/27/2001 W- 12042

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FILES DATE: 08/27/2001 M-12043

ISSUE DATE:

From-HUTCHINSON BLACK and COOK, LLC 01:14pm 03-20-03

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F-873 T-826 P. DZ

# ASSIGNMENT

This Assignment ("Assignment") is effective as of the date of execution hereof by SILICON VALLEY BANK ("SVB") having a place of business at 3003 Tasman Drive, Santa Clara, California 95054, GATX VENTURES, INC ("GATX") having a place of business at 3687 Diablo Boulevard, Suite 200, Lafayette, California 94549, and SEQUEL ENTREPRENEURS' FUND II, L.P., in its capacity as Collateral Agent ("Sequel") having a place of business at 4430 Arapahoe Avenue, Suite 220, Boulder, Colorado 80303 (SVB, GATX and Sequel hereinafter collectively referred to as "Assignors"). The rights assigned herein will be owned by DPHI Acquisitions, Inc., a Delaware corporation, (hereinafter "Assignee"), with its principal place of business located at 2580 55th Street, Boulder, Colorado 80301.

WHEREAS, Assignors have acquired all rights, title and interest to technologies ("Technology") including, but not limited to, all versions of any software, firmware, hardware, chip layout and design, manufacturing processes, methods and system (including, without limitation, computer applications), ideas, inventions, disclosures, original works of authorship, developments, improvements, modifications, or enhancements, created, acquired and/or developed by or on behalf of DataPlay, Inc., including, without limitation, all object code and source code; all designs, drawings, specifications, models, data, algorithms, documentation, diagrams, flow charts and development plans, know-how and techniques, trade secrets and materials; all derivative works of the foregoing by whomever created (in object code, source code, or any other form and/or any media); and all tangible embodiments of the foregoing (in whatever form or media); and

WHEREAS, Assignors hereby desire to irrevocably assign to Assignee all rights, title and interest in and to the Technology.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors make the following assignment

- 1. Assignors hereby irrevocably assign to Assignee all of Assignors' rights, title and interest in and to the Technology, said rights, title and interest including, but not limited to, all patent rights, copyrights, trademark rights, mask rights, trade secret rights and all other intellectual and industrial property rights anywhere in the world thereto, to have and to hold the same unto Assignee, its successors and assigns. In addition, Assignors hereby assign to Assignee and waives any and all moral rights Assignors may have in and to the Technology or any portion
- 2. Assignors irrevocably assign to Assignee all of Assignors' rights, title and interest in and to all patent applications and issued patents so derived from the Technology, thereof. together with any reissue, continuation, division, continuation-in-part or extension thereof, filed in the United States, as listed in Attachment A, and Internationally, as listed in Attachment B. In addition, Assignors irrevocably assign to Assignee all of Assignors' rights, title and interest in all invention disclosures as listed in Attachment C.
  - 3. At any time, and from time to time after the date of execution of this Assignors shall forthwith upon Assignce's request, take any and all steps to

03-20-03 01:14pm From-HUTCHINSON BLACK and COOK, LLC

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T-826 P.03

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execute, acknowledge and deliver to Assignee any and all further instruments and assurances necessary or expedient in order to vest the aforesaid rights and causes of action more effectively in Assignee and to facilitate Assignee's enjoyment and enforcement of said rights and causes of action

- 4. Assignors hereby constitute and appoint Assignee as Assignors' true and lawful attorney-in-fact, with full power of substitution in Assignors' name and stead, to take any and all steps, including proceedings at law, in equity or otherwise, to execute, acknowledge and adulter any and all instruments and assurances necessary or expedient in order to vest or perfect deliver any and causes of action more effectively in Assignee or to protect the same or to the aforesaid rights and causes of action more effectively in Assignee or to protect the same or to the aforesaid rights and causes of action more effectively. This includes, but is not limited to, enforce any claim or right of any kind with respect thereto. This includes, but is not limited to, enforce any rights with respect to the Technology that may accrue or have accrued in Assignors' favor any rights with respect to the Technology to the date of this Assignment. Assignors from the respective date of creation of the Technology to the date of this Assignment. Assignors hereby declare that the foregoing power is coupled with an interest and is irrevocable.
- 5. To the extent, if any, that Assignors retain any right, title or interest with respect to the Technology or rights in any technology or materials that would be infringed by Assignee's use, sale, offer for sale, modification, making, maintenance, support, reproduction or distribution of any of the Technology, Assignors hereby grant to Assignee an exclusive, distribution of any of the Technology, Assignors hereby grant to Assignee an exclusive, distribution of any of the Technology, royalry-free, transferable, sublicensable, worldwide right irrevocable, perpetual, fully paid-up, royalry-free, transferable, sublicensable, worldwide right and license to exploit and exercise all such technology and materials (i) to use, sell, offer to sell, and license to exploit and exercise all such technology and materials (i) to use, sell, offer to sell, and license to exploit and exercise all such technology and materials (i) to use, sell, offer to sell, and license to exploit and exercise all such technology and materials (i) to use, sell, offer to sell, and license to exploit and exercise all such technology and materials (ii) to use, sell, offer to sell, and license to exploit and exercise all such technology and materials (ii) to use, sell, offer to sell, and license to exploit and exercise all such technology and materials (ii) to use, sell, offer to sell, and license to exploit and exercise all such technology and materials (ii) to use, sell, offer to sell, and license to exploit and exercise all such technology and materials (ii) to use, sell, offer to sell, and license to exploit and exercise all such technology and materials (ii) to use, sell, offer to sell, and license to exploit and exercise all such technology and materials (ii) to use, sell, offer to sell, and license to exploit and exercise all such technology and materials (ii) to use, sell, offer to sell, and license to exploit and exercise all such technology and materials (ii) to use, sell, offer to sell, and license to exploit and exercise all such technol
- 6. No waiver of any breach or condition of this Assignment shall be deemed to be a waiver of any other or subsequent breach or condition, whether of like or different nature. If any provision of this Assignment is held by a count of competent jurisdiction to be illegal, invalid or unenforceable, that provision shall be limited or eliminated to the minimum extent necessary so that this Assignment shall otherwise remain in full force and effect and enforceable, this Assignment shall be governed by and construed in accordance with the laws of the State of This Assignment shall be governed by and construed in accordance with the laws of the State of California applicable to contracts entered into and to be wholly performed within California, without regard to conflicts of laws provisions thereof.

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01:15p@

03-20-03

From-HUTCHINSON BLACK and COOK, LLC

3034426593

T-825 P.04/27 F-873

LAWS. RULES, ANY OF REQUIREMENTS THE PATENT THERETO; HTW TECHNOLÖGY PERTAINING INFRINGEMENT; LATENT DEFECTS; THE QUALITY OF THE MATERIAL OR WORKMANSHIP OF THE TECHNOLOGY OR THE CONFORMITY OF THE TECHNOLOGY TO THE PROVISIONS AND SPECIFICATIONS OF ANY PURCHASE ORDER RELATING THERETO; THE OPERATION, USE, OR PERFORMANCE OF THE TECHNOLOGY; OR ANY OTHER REPRESENTATION OR WARRANTY OF ANY KIND, EXPRESS OR IMPLIED, WITH RESPECT TO THE TECHNOLOGY. ASSIGNEE ALSO ACKNOWLEDGES THAT ASSIGNORS HAVE MADE NO REPRESENTATION OR WARRANTY OF ANY KIND, NATURE OR DESCRIPTION, EXPRESS OR IMPLIED, WITH RESPECT TO THE OPERATION, USE OR PERFORMANCE OF THE TECHNOLOGY.

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Assignce acknowledges that Assignors have made no representation or warranty concerning the location of the Technology nor whether all of the Technology is in existence or operational. ASSIGNEE PURCHASES THE TECHNOLOGY AS IS AND WHERESOEVER LOCATED, WITHOUT REPRESENTATION OR WARRANTY OF ANY KIND. Assignee accepts the Technology subject to the terms of this Assignment.

Assignee agrees to be responsible for all taxes, that are now existing or hereafter are incurred, assessed, or imposed on the Technology or as a result of the ownership or sale of the Technology, except as expressly provided otherwise in the Asser Purchase Agreement. Assignee hereby agrees to hold Assignots harmless from and against any and all taxes, that are now hereby agrees to hold Assignots harmless from and against any and all taxes, that are now existing or are hereafter incurred, assessed or imposed on the Technology or as a result of the ownership of the Technology.

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03-20-03 01:15pm

From-HUTCHINSON BLACK and COOK, LLC

T-826 P-05/21 F-873

IN WITNESS WHEREOF, Assignors have caused this Assignment to be signed in Assignors'

SILICON VALLEY BANK

Date: 3-4-2013

Signature of Assignor

Printed Name and Title

SEQUEL ENTREPRENEURS' FUND II, L.P. as Collateral Agent

Date: Signature of Assignor

Signature of Assignor

3034428593

Printed Name and Title

T-826 P.06/27 F-873

From-HUTCHIRSON BLACK and COOK, LLC 83-20-03 01:15pg IN WITNESS WHEREOF, Assignors have caused this Assignment to be signed in Assignors' name. SILICON VALLEY BANK Signature of Assignor Date: Printed Name and Title GATX VENTURES, INC. SEQUEL ENTRHPRENEURS' FUND II, L.P. as Collateral Agent Signature of Assignor Date:\_ Printed Name and Title

3034426593

03-20-03 01:16pm Fro	DA-RUTCHINSON BLACK and COOK, LLC	3034426593	T-826 P.07/27 F-873
name	HEREOF, Assignors have ca	used this Assignment to be si	gned in Assignors'
STLICON VALI	EX BUILD		
Date:		Signature of Assignor	
		Printed Name and Title	
GATX VENTU	res, inc.		
Date:	<u> </u>	Signature of Assignor	·
÷	•	Printed Name and Title:	
as Collateral A		////	/2
Date: 3-5-	03	Signature of Assignor	

AUG. 25. 2003 2:14PM



Revocation

# MacPherson Kwok Chen & Heid LLP

1762 Technology Drive Suite 226 San Jose, CA 95110 Tel. 408-392-9250 Fax 408-392-9262 2402 Michelson Drive Suite 210 Irvine, CA 92612 Tel. (949) 752-7040

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## **FACSIMILE COVER**

Date:	August 25, 2003		
To:	Office of Initial Patent Examination	Fax Telephone #:	(703) 746-9195
From:	Theodore P. Lopez	Date Sent:	August 25, 2003
Subject:	Submission of Power of Attorney by Assignee for Application Serial No. 09/540,657	Time Sent:	
Client/File:	M-8535-1P US	Fax Operator:	Adriane Giberson

This transmittal consists of 21 total page(s), including this cover sheet.

Message:

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August 25, 2003

Commissioner For Patents Alexandria, VA 22313-1450

Re:

Applicant(s):

Ian Redmond; Bernard Bell; David L. Blankenbeckler;

Michael F. Braitberg; Robert D. Freeman

Assignee:

DPHI Acquisitions, Inc.

Title:

Low Profile Optical Hand

Serial No.:

09/540,657

Filed: 03/31/2000

Examiner:

Kimlien T. Le

Group Art Unit: 2653

Docket No.:

M-8535-1P US

#### Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Power of Attorney By Assignee Of Entire Interest (2 pages);
- (3) Exhibit A: Copy of Notice of Recordation of Assignment Document (7 pages);
- (4) Exhibit B: Copy of Notice of Recordation of Assignment Document (4 pages); and
- (5) Exhibit C: Copy of Assignment from Silicon Valley Bank et al. to DPHI Acquisitions, Inc. (6 pages).
- Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 50-2257

Respectfully submitted,

Attorney for Applic Reg. No. 44,88

I hereby certify that this correspondence is being transmitted via facsimile to telephone number (703)746-9195 addressed to: Office of Initial Patent Examination, Commissioner for Patents, Washington, D.C. 20231, on August 25, 2003.

Adriane Giberson

8 25 93 Date of Signature